



U.S. DEPARTMENT OF **ENERGY**

DOE Safety and Security Enforcement Workshop

Coordinating Enforcement Program Office Viewpoint

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EM *Environmental Management*

Integrating Enforcement

- Enforcement Program should not be a stand-alone program or conducted in isolation from other functions designed to assure safety
- Enforcement should be integral part of Headquarters Program:
 - Operational Awareness
 - Line Oversight
 - Operational Experience
 - Safety Performance Analysis
 - Contract Fee Actions and/or Enforcement



- Coordinator Communication
 - HSS Office of Enforcement
 - HQ Program
 - Senior Management;
 - Oversight Staff;
 - WSHP/Nuclear/QA/Security SMEs
 - DOE Field Element Enforcement Coordinators



Operational Awareness

- Understand Contractor Safety Performance
 - Overall Performance: Programmatic and Compliance
 - Significant Events
 - Corrective Action Effectiveness
- Tools:
 - ORPS/NTS
 - CAIRS
 - Oversight Reports from Field and HQ
 - Employee Concerns
 - Safety Performance Analysis Reporting (Field/HQ)
 - News Reports



Provide Input to Enforcement Process

- Before Enforcement Actions are considered - - Frequent Discussions with Field Element and HS-40 on line management's view of safety performance.
 - Are safety compliance issues being found and fixed through critical self-assessment?
 - Are adverse safety trends and corrective actions effective?
 - Are contractors learning?
 - Are safety events significant and/or self-identifying?
 - Are contractors responding appropriately to concerns?
 - Is Field Element already addressing contractor safety issue/trend through contract oversight/actions?
 - HQ Line SME/manager input



Provide Input to Enforcement Process

- After Enforcement Actions are considered:
 - If possible, observe/participate in onsite investigation
 - Best way to understand event issues and develop line position on enforcement path forward
 - Assist HSS to understand line perspective of event/issue
 - Operational/Oversight opportunity
 - Arrange for Line SMEs to weigh in where expertise is needed and ensure managers are onboard.
 - Review and ensure line/field "message" is incorporated into enforcement documents.



Sharing Lessons Learned

- Use of Operating Experience Program
- HSS Enforcement Webpages
- Press Releases

